



 KINGSVIEWPARTNERS

Introduction to **Kingsview**



Kingsview Overview

Holding Company:
RIA, Agency, Investment Business

Mission Specific Offices:

Grants Pass - HQ

Chicago - Investment Management

Fairfield - Marketing/Business Dev.

- 75+ advisor partners
- 42+ advisor partner offices in 19 states



Kingsview Wealth Management

- SEC covered Registered Investment Advisor
- 5000+ accounts
- Over \$3.48b in client assets under advisement (12/31/2021)
- Independent custody platform
 - Raymond James
 - Charles Schwab
 - TD Ameritrade
 - Interactive Brokers



Kingsview Investment Management

- Turnkey SMA strategies
- UMA options
- Comprehensive solutions



Kingsview Trust & Insurance Services

- 50 State licensed independent agency
 - Life
 - Annuity
 - LTC & DI
- Industry wide carrier access
- Dedicated channel specialist



Kingsview Strategic Tax Consulting

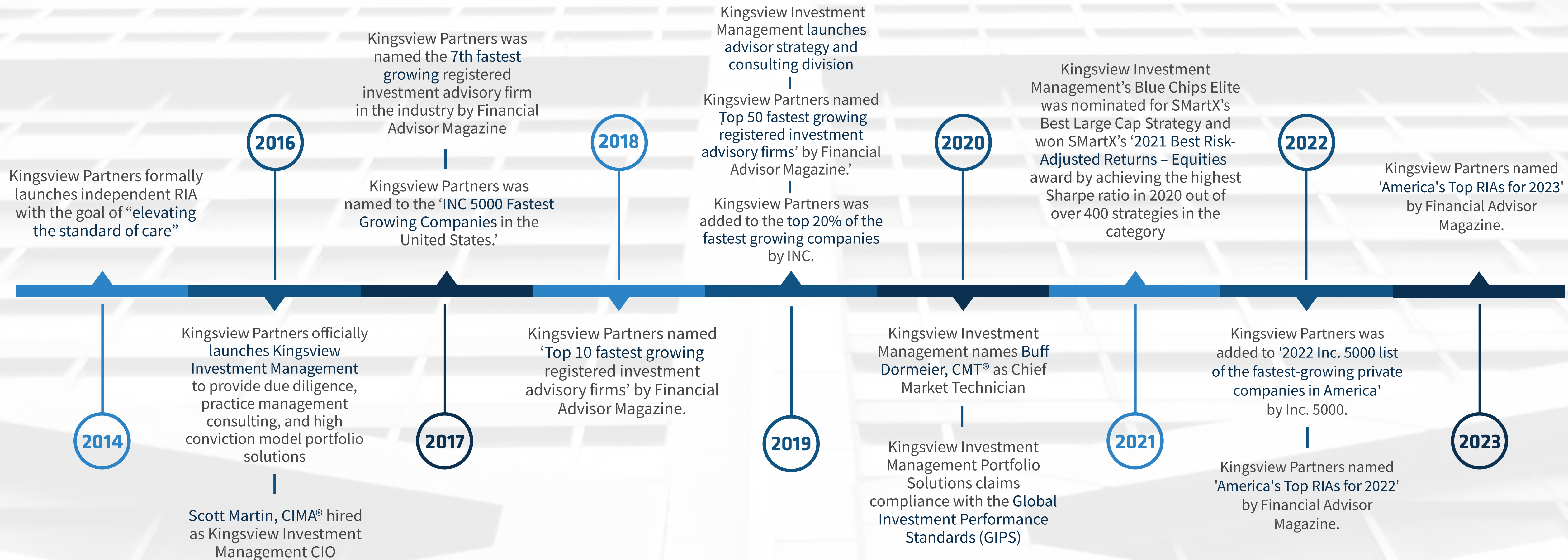
- Tax planning (personal & business)
- Full service tax preparation



Monarch Funds

- Index ETFs
- No model cost SMA solutions

Company Milestones



- Investment Advisory Firm Ranks No. 2485, With A Three-Year Revenue Growth of 233%. Inc. magazine has revealed that Kingsview Partners is number 2485 on its annual Inc. 5000 list, a leading ranking of the nation’s fastest-growing private companies. his year marks the fifth consecutive year Kingsview Partners has ranked, having also garnered recognition in 2018, 2019, 2020 and 2021.



Kingsview Partners is an independent fiduciary advisory firm catering to a discerning investor who understands the value of a comprehensive financial solution. Our innovative, curated approach to investing features a team of experts working in lockstep and combining personalized attention to detail with a broad-ranging menu of services and cutting-edge technology.

It goes without saying that an investor's specific needs should always be their advisor's foremost concern. However, the problem with some Wealth Management is that registration, regulation, compensation and affiliation determine what clients are sold.

As an independent fiduciary advisor, Kingsview Wealth Managers adhere to the fiduciary oath, which places the client's interests above all else. Our transparent, no-nonsense approach ensures investors are treated like peers, not pawns.

What Sets Kingsview **Apart**



What Sets Kingsview Apart

No Broker Dealer Registrations

Kingsview Advisors operate as true investment fiduciaries at all times. Regulated directly by the SEC and legally obligated to act in your best interest, we are compensated on the growth of your assets... not the sale of individual products. When you do well... we do well.

Outsourced Custody

Kingsview Partners is a boutique advisory firm with sizable partners, which allows us to adapt our strategies as appropriate. Our clients receive the “small firm” benefits of a custom financial plan, paired with the security provided by large custodial partners.

Transparency

Kingsview Partners offers a truly independent platform for its advisors. As fiduciaries, our Wealth Managers can effectively mitigate conflicts of interest and provide investors with a clear understanding of the motivations behind their recommendations.

Accountability

Kingsview Partners attaches great importance to the responsibility borne from our fiduciary duty. Kingsview Wealth Managers may serve up to 40% fewer clients than their peers, allowing them a greater opportunity to listen, plan and execute for the investor.

No Sales Quotas

Kingsview Advisors are truly independent... and not subject to sales quotas or bonuses for bringing on new clients. We are incentivized to spend time with the clients we have instead of focusing on the ones we don't. The only way we succeed is if our clients grow.

No Product Restrictions

Kingsview Wealth Managers are never obligated to push certain products and services. They are free to present all available options to investors and do not restrict offerings simply because they may be inconvenient, complex or difficult.



Client Experience

Online Access

The screenshot shows the 'Account Access' section of the Kingsview Partners website. At the top left is the 'KINGSVIEWPARTNERS' logo. To the right is a navigation menu with links for 'Who We Are', 'How We Help', 'Find An Advisor', 'Resources', and 'Contact Us'. Below the navigation is the 'Account Access' heading, followed by the instruction: 'Please select an account to be redirected to the login page.' The main content area features a large dark blue banner with the text 'Performance PORTAL' and a 'Log In' button. Below the banner are four buttons, each with a different financial institution's logo: 'RAYMOND JAMES', 'Interactive Brokers', 'charles SCHWAB', and 'Ameritrade'.

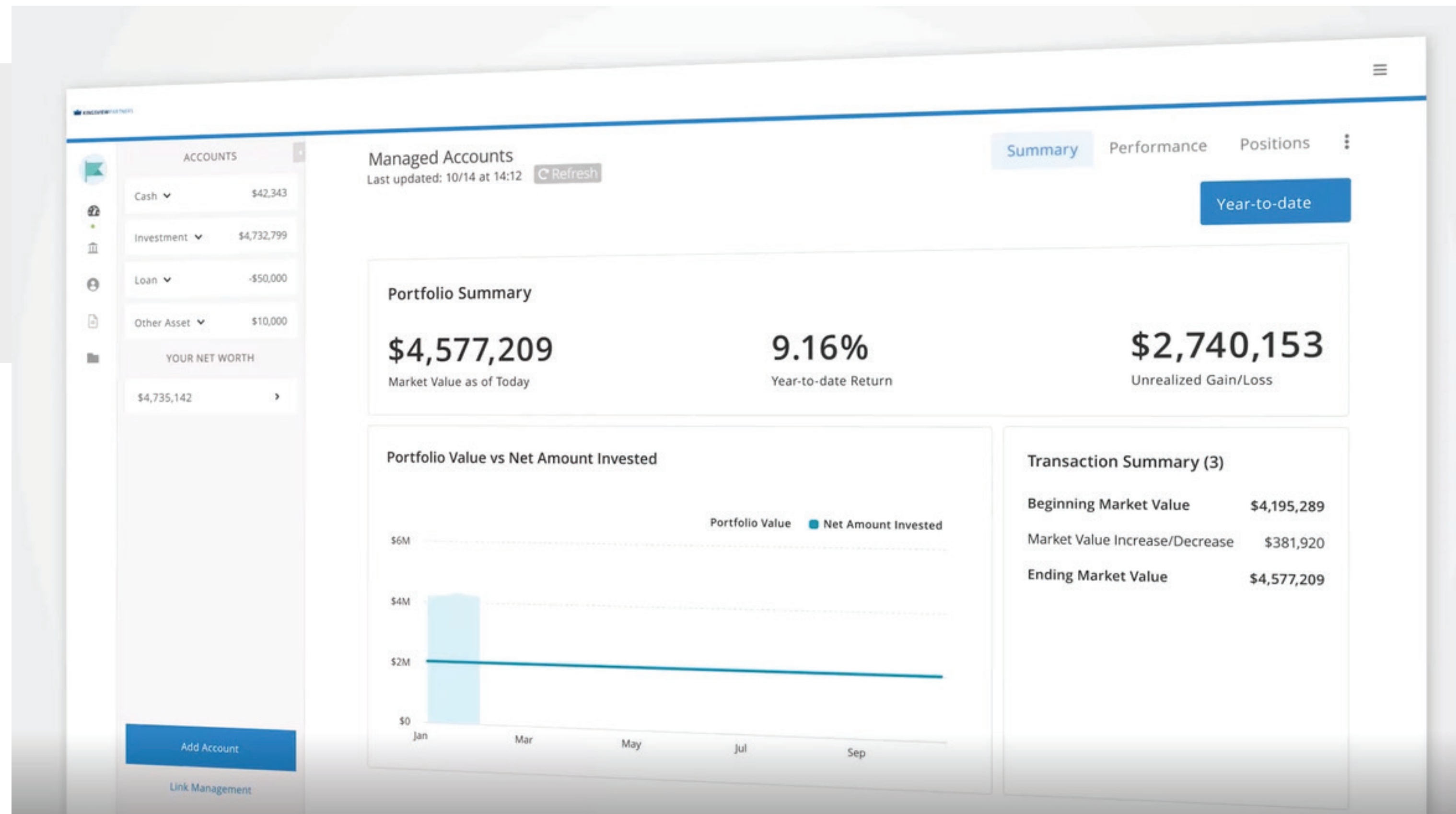
Multi-Custodial Options

Kingsview Wealth Management is uniquely positioned to deliver on client needs through our multi custodial platform. Clients are given the option of choice, so that challenging custody and execution needs are met with the best interest mandate required of a fiduciary.



Client Experience

Performance and Planning



Additional Highlights

Scott D. Martin is Chief Investment Officer of Kingsview Wealth Management, a Registered Investment Advisor (RIA) based in Chicago, Illinois.

- He also serves as Contributor to the **Fox News Channel** and is an Index Specialist with Monarch Funds.
- He and his team were named one of the “**Best ETF managers**” of 2008 by Forbes.
- Author of the weekly “Astor Long/Short Balanced Update” newsletter, which received the NAIM President’s Award for excellence in financial newsletter writing.





**Thank you and I look
forward to continuing our
partnership.**